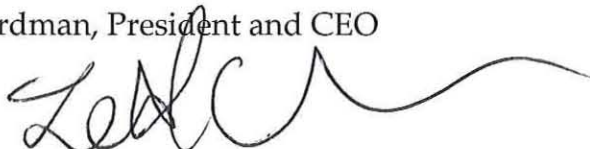




Memorandum

To: Joseph Boardman, President and CEO
From: Ted Alves 
Date: April 12, 2013
Subject: Audit Engagement Memo: Amtrak's Implementation of the 2008 Passenger Rail Investment and Improvement Act

This is to inform you, we are starting an audit to assess Amtrak's progress in implementing the 2008 Passenger Rail Investment and Improvement Act (PRIIA) provisions. PRIIA Section 221 requires the Department of Transportation (DOT) Office of the Inspector General (OIG) to assess Amtrak and Federal Railroad Administration's (FRA) progress in implementing the Act's provisions within three years of PRIIA's enactment, and two years thereafter.

With the approval of the Senate and House Authorization Committees, we reached agreement with the DOT OIG that Amtrak OIG would assess Amtrak's progress and the DOT OIG would address FRA's progress implementing PRIIA provisions. We issued our first PRIIA implementation report on October 26, 2011.¹

In keeping with this arrangement, we are now initiating our second audit under this provision. Our audit objectives are to (1) examine Amtrak's progress in implementing its PRIIA responsibilities, and (2) assess any challenges to completing the implementation. This audit will be conducted under project code 009-2013. We will perform our audit work in accordance with Generally Accepted Government Auditing Standards.

We request that the Finance Department OIG audit liaison arrange an entrance conference for us with the appropriate Amtrak officials at the earliest possible date. We will keep you advised of the status of our work.

¹ *Passenger Rail Investment and Improvement Act of 2008: Amtrak Has Made Good Progress, but Continued Commitment Needed to Fully Address Provisions* (Report No. OIG-A-2012-001).

Al Murray will be the Auditor-in-Charge for this audit. If you have any questions, please contact David R. Warren, Assistant Inspector General, Audits, at 8-777-4742 or by email at David.Warren@Amtrakoig.gov; or Mark A. Little, Senior Director, at 8-777-4893 or by email at Mark.Little@Amtrakoig.gov.

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