



NATIONAL RAILROAD  
PASSENGER CORPORATION

Office of Inspector General



# Memorandum

**To:** Gerald Sokol, Jr., Executive Vice President/Chief Financial Officer  
Stephen Gardner, Executive Vice President/NEC Business  
Development

**From:** Stephen Lord, Assistant Inspector General, Audits

**Date:** July 12, 2016

**Subject:** Engagement Memo—Monitoring Gateway Program (Project code  
015-2016)

This is to inform you that we are initiating a review to monitor Amtrak's (the Company's) activities associated with the Gateway Program. The successful accomplishment of ongoing and planned Gateway Program projects in an effective and efficient manner is of high priority to the Company, the Congress, and other stakeholders. Our overall objective is to keep apprised of governance, planning, implementation, and financing issues affecting projects within the Gateway Program. Our specific objective is to provide stakeholders timely information and recommendations, where appropriate, based on our review of emerging issues.

Our work will include, but not be limited to, attending status meetings, interviewing company officials, and reviewing available documentation. We will work to minimize disruptions to the company by coordinating meetings with staff in advance.

Our interactions with the company will be consistent with P/I 2.1.3, which sets forth the relationship between our office and the company. In particular, section 7.0 of the policy discusses coordination between company officials and our office of audits. To view this policy, see the following link:

<http://wiki.corp.nrpc/display/APIM20/2.0+Inspector+General>

Michael Kennedy, Senior Director-Lead and Anne Keenaghan, Senior Director will be responsible for this effort. If you have any questions, please contact me at (202) 906-4742

(Stephen.Lord@amtrakoig.gov) or Michael Kennedy at (202) 906-4308  
(Michael.Kennedyr@amtrakoig.gov).

cc: DJ Stadtler, Jr., Executive Vice President/Chief Operations Officer  
William Herrmann, Vice President/Managing Deputy General Counsel  
Matthew Gagnon, Senior Director, Amtrak Controls