



**Department of Energy**  
Washington, DC 20585

September 10, 2024

Kevin H. Winters  
Inspector General  
National Railroad Passenger Corporation (Amtrak)  
10 G Street, NE  
Washington, DC 20002

**Subject:** Report on the Qualitative Assessment Review of the Investigative Operations of the Office of Inspector General for Amtrak

Dear Mr. Winters:

We have reviewed the system of internal safeguards and management procedures for the investigative operations of the Office of Inspector General (OIG) for Amtrak in effect for the period ended March 31, 2024. Our review was conducted in conformity with the *Quality Standards for Investigations* and the *Qualitative Assessment Review Guidelines for Investigative Operations of Federal OIGs* established by the Council of the Inspectors General on Integrity and Efficiency (CIGIE).

We reviewed Amtrak OIG's compliance with its system of internal policies and procedures to the extent we considered appropriate. The review was conducted at Amtrak OIG's Headquarters office in Washington, DC, and the field office in Philadelphia, PA. Additionally, we sampled 30 case files for investigations closed during the period April 1, 2023, through March 31, 2024.

In performing our review, we also gave consideration to the Attorney General's *Guidelines for OIGs with Statutory Law Enforcement Authority* and Section 6(e) of the Inspector General Act of 1978, as amended (IG Act). Those documents authorize law enforcement powers for eligible personnel of each of the various OIGs. Law enforcement powers may be exercised only for activities authorized by the IG Act, other statutes, or as expressly authorized by the Attorney General.

In our opinion, the system of internal safeguards and management procedures for the investigative operations of Amtrak OIG, in effect for the period ended March 31, 2024, is in compliance with the quality standards established by CIGIE and other applicable guidelines and statutes cited above. These safeguards and procedures provide reasonable assurance of conforming with professional standards in the planning, execution, and reporting of Amtrak OIG's investigations and in the use of law enforcement powers.

We appreciate the cooperation and professionalism of your staff during this review. If you have any questions, please contact me at (202) 589-0034. Otherwise, you may contact Assistant Inspector General for Investigations Lewe Sessions at (202) 604-8765 or lewe.sessions@hq.doe.gov.

Sincerely,

A handwritten signature in cursive script, appearing to read "Teri L. Donaldson".

Teri L. Donaldson  
Inspector General

cc: Attorney General, U.S. Department of Justice  
Executive Director, CIGIE

Enclosures: (1) Objective, Scope, and Methodology  
(2) Closed Investigative Files Reviewed

**ENCLOSURE 1**  
**Objective, Scope, and Methodology**

The Department of Energy (DOE) Office of Inspector General (OIG) conducted this Quality Assessment Review to determine whether Amtrak OIG had internal control systems in place that provided reasonable assurance it followed professional standards when conducting investigations. These professional standards were the Council of the Inspectors General on Integrity and Efficiency's (CIGIE) *Quality Standards for Investigations* and the Attorney General's *Guidelines for OIGs with Statutory Law Enforcement Authority*.

The DOE OIG performed its review in accordance with CIGIE's *Qualitative Assessment Review Guidelines for Investigative Operations of Federal OIGs*. We analyzed Amtrak OIG policies and procedures, reviewed closed investigative case files and administrative records, conducted interviews with management officials and staff, reviewed Amtrak OIG's electronic case management system, and tested internal controls. We conducted our review during June through August 2024 and visited the Amtrak OIG offices in Washington, DC, and Philadelphia, PA, during July 9-11, 2024.

**ENCLOSURE 2**  
**Closed Investigative Files Reviewed**

The Amtrak Office of Inspector General (OIG) closed 102 investigations during the period April 1, 2023, through March 31, 2024. The Department of Energy OIG reviewed 30 of the 102 investigative files, as noted below:

<b>Number</b>	<b>Investigative File Number</b>	<b>Closed Date</b>
1	19-0411-I	July 31, 2023
2	20-0064-I	January 20, 2024
3	20-0488-I	August 30, 2023
4	20-0608-I	December 13, 2023
5	21-0300-I	September 1, 2023
6	21-0346-I	April 3, 2023
7	21-0388-I	August 31, 2023
8	22-0449-I	November 14, 2023
9	22-0541-I	January 25, 2024
10	22-0545-PI	September 9, 2023
11	22-0582-I	December 8, 2023
12	22-0631-AI	May 17, 2023
13	23-0019-AI	June 13, 2023
14	23-0063-AI	February 21, 2024
15	23-0174-AI	April 6, 2023
16	23-0191-AI	May 18, 2023
17	23-0227-I	November 7, 2023
18	23-0236-PI	August 2, 2023
19	23-0542-I	February 6, 2024
20	23-0583-AI	March 3, 2024
21	24-0002-AI	November 11, 2023
22	24-0006-AI	January 5, 2024
23	24-0007-AI	March 7, 2024
24	24-0008-I	March 26, 2024
25	24-0013-I	November 15, 2023
26	24-0017-I	February 5, 2024
27	24-0019-I	November 28, 2023
28	24-0024-I	December 20, 2023
29	24-0030-I	January 29, 2024
30	24-0035-I	February 6, 2024