




Memorandum

To: Gerald Sokol, Jr.
Executive Vice President/Chief Financial Officer



From: David R. Warren
Assistant Inspector General, Audits

Date: November 30, 2015

Subject: Engagement Memo—Assessing the Adequacy of Security for Publicly Accessible Web Applications (Project code 004-2016)

We are initiating a review of Amtrak's (the company) website security. Our objective is to assess the adequacy of security for publicly accessible web applications. We are performing this review in coordination with select members of the Council of Inspectors General on Integrity and Efficiency who are performing similar reviews of their organizations. The results of all participating Offices of Inspector General will be consolidated in a summary report.

Our work will include, but not be limited to, interviewing company officials, performing vulnerability assessments, and analyzing documents and data associated with the objective. Our initial interviews will be with Information Technology department officials. Our requests for documents will be made as our work progresses. We will work to minimize disruptions to the company by coordinating interviews with staff in advance.

Our interactions with the company will be consistent with P/I 2.1.3, which sets forth the relationship between our office and the company. In particular, section 7.0 of the policy discusses coordination between company officials and our office of audits. To view this policy, see the following link:

<http://wiki.corp.nrpc/display/APIM20/2.0+Inspector+General>

We request that your office arrange an entrance conference for us with the appropriate company officials at the earliest possible date. We will keep you advised of the status of our work and any material changes in our objective.

Alex Best will be the audit manager for this engagement and reports to Vipul Doshi, Senior Director, Audits. If you have questions, please contact me at (202)-906-4600 or Vipul Doshi at (202)-906-4619.

cc: DJ Stadtler, Jr., Executive Vice President/Chief Operations Officer
Jason Molfetas, Executive Vice President/Chief Information Officer
Matthew Hardison, Executive Vice President/Chief Marketing and Sales Officer
Joseph McHugh, Senior Vice President/Chief Government Affairs and Communication
William Herrmann, Vice President and Managing Deputy General Counsel
Matthew Gagnon, Senior Director, Amtrak Controls
Melantha Paige, Senior Amtrak Controls Consultant